Y E SASSIN 5/10/02 5585-61760 116144.doc

Attorney Reference Number

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of: Franks et al.

Application No. 10/009,376

Filed: December 3, 2001

For: GENE THERAPY PRODUCTS

Examiner: Not assigned yet

Date: May 10, 2002

Art Unit: Not assigned yet

#### CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on May 10, 2002, as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATERY'S, WASHINGTON D.C. 20231.

Susan Alpert Siegel, Ph.I. Agent for Applicant

#### TRANSMITTAL LETTER

COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

Enclosed for filing in the application referenced above are the following:

- - Form 1449 and references cited thereon
  - No IDS Fee required
- The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.
- Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By

Susan Alpert Siegel, Ph.D. Registration No. 43,121

One World Trade Center, Suite 1600 121 S.W. Salmon Street Portland, Oregon 97204 Telephone: (503) 226-7391

Facsimile: (503) 228-9446

cc: Docketing

SASKdn 05/10/02 5585-61760 116034.doc

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of: Franks et al.

Application No. 10/009,376

Filed: December 3, 2001

For: GENE THERAPY PRODUCTS

Examiner: Not assigned yet

Date: May 10, 2002

ND TRADEMARK OFFICE

Art Unit: Not assigned yet

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on May 10, 2002 as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, WASHINGTON,

D.C. 20231.

Susan Alpert Siegel, Ph.D. Agent for Applicant

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(b)(3)

COMMISSIONER FOR PATENTS WASHINGTON, DC 20231

Listed on the accompanying form PTO-1449 and enclosed is an English-language document. Applicants respectfully request that this document be listed as references cited on the issued patent.

Applicants filed this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this Information Disclosure Statement, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A duplicate copy of this Information Disclosure Statement is enclosed.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

By

Susan Alpert Siegel, Ph.D. Registration No. 43,121

One World Trade Center, Suite 1600 121 S.W. Salmon Street Portland, Oregon 97204

Telephone: (503) 226-7391 Facsimile: (503) 228-9446

App: 10/009,578,500,500 Docket: 5585-61760 INFORMATION DISCLOSURE Applicant: Franks et al. **STATEMENT** Art Unit: Not assigned yet Filed: December 3, 2001 **Y APPLICANT** MAY 2 8 2002 FOREIGN PATENT DOCUMENTS Class Sub Country Date Number WIPO 11/30/89 WO 89/11270 **DATE EXAMINER:** \*Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if

not in conformance and not considered. Send copy.